
THE JOHN D. AND CATHERINE T.
MACARTHUR FOUNDATION

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PRE-PUBLICATION D R A F T

Program-Related Investments

The Foundation pursues its program goals by making program-related investments (PRIs) in addition to grants. Program-related investments are a form of development lending — the use of capital to achieve social goals.

The Foundation actively seeks opportunities to make program-related investments in order to expand the range and impact of its programs. PRIs are a cost-effective way to extend the reach of limited philanthropic resources. They usually help the borrower attract other, conventional sources of capital to philanthropic work, thereby reducing dependence on grant funds. When repaid, PRIs can be recycled to meet other needs.

The terms of program-related investments are determined case by case. Most past investments have had a term of five to ten years with a below-market rate of interest.

Who Can Apply

The Foundation usually invests in intermediary organizations that subsequently make loans to individual projects. It also will consider direct investment in projects closely related to the Foundation's grantmaking strategies and not served by intermediary organizations. In the field of community development, the Foundation has used PRIs to help capitalize national and international organizations that finance economic development and low-income housing.

The Foundation rarely invests directly in individual community development projects located outside of Chicago or Palm Beach County, Florida.

The criteria for evaluating proposals for program-related investments include the project's potential impact, its financial feasibility, and the extent to which it is related to the Foundation's program interests and goals.

The Foundation does not make program-related investments for the purchase or construction of buildings, except as part of a community development program.

Both tax-exempt and for-profit organizations working in areas of Foundation interest are eligible for PRIs. A for-profit organization, however, must demonstrate clearly that it seeks a PRI for a project that is closely related to the Foundation's tax-exempt purposes.

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How to Apply

Please be sure to read the application information particular to the [Human and Community Development Program](#), the [Global Security and Sustainability Program](#), and [Program-Related Investments](#). This information is located in this section of our web site and can be obtained by clicking on the navigation links to the right.

In addition, please be sure to read the program guidelines in our "[About Our Programs](#)" section for [Human and Community Development](#), [Global Security and Sustainability](#), the [General Program](#), and [Program Related Investments](#).

Separate grant guidelines are posted for the Global Security and Sustainability Program's [Research and Writing Grants](#) and [Initiative in the Independent States of the Former Soviet Union](#).

Separate grant guidelines are also posted for the General Program's [Support for Media Centers](#).

Send us a letter of inquiry.

Our grantmaking decisions are usually an interactive process involving communication between the grantseeker and Foundation staff. The best first step is a letter of inquiry, directed to the Foundation's Office of Grants Management. We suggest a short letter, two to three pages in length. There is no set format, but letters generally include:

- A brief statement of purpose — two or three sentences summarizing the nature of the project.
- The significance of the issue addressed by the project, and how it relates to the Foundation's interests and program goals.
- How the project will address the issue.
- How the issue relates to your organization, and why your organization is qualified to undertake this project.
- The audiences who will be interested in your work, and how you will communicate with them.
- A rough estimate of the cost of the project, the amount you are requesting from the Foundation, and other sources of support.

If you have readily available attachments that would help us understand the project, we would welcome them as well. Your letter should also provide us with contact information, including your organization's name, address, telephone and fax numbers; the name and title of the principal contact person for the proposal; and the name of the parent organization, if there is one.

We will promptly acknowledge that your letter of inquiry was received, and we will direct it to the appropriate staff members for review. If the Foundation decides not to fund a project, we will notify you as soon as possible.

If the staff decides that a projects might qualify for a grant, we will contact you to discuss next steps. We may ask you to describe the project in greater detail, or to submit a detailed budget or other information.

It should be noted that the Foundation does not support political activities or attempts to influence specific legislation. The Foundation does not provide scholarships or tuition assistance for

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What the Foundation Does Not Support

The Role of Communications

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Global Security and Sustainability Program: What We Consider in Our Funding Decisions

Program-Related Investments: Application Guidelines

undergraduate, graduate or postgraduate studies, nor does it provide support to capital campaigns, annual fundraising drives, institutional benefits, honorary functions, or similar appeals.

All material submitted becomes the property of the Foundation. When appropriate, the Foundation may submit inquiries or proposals to outside review.

Research Competitions

In addition to making grants through the process described above, the [Program on Global Security and Sustainability](#) awards research grants through competitive processes, such as the [Research and Writing Competition](#) in the area of Concepts of Security and Sustainability. The application procedures and deadlines for research competitions are available by request from the Office of Grants Management.

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PRE-PUBLICATION D R A F T

Program on Global Security and Sustainability

The aim of the Program on Global Security and Sustainability is to promote peace within and among countries, healthy ecosystems worldwide, and responsible reproductive choices. The Foundation encourages work that recognizes the interactions among peace, sustainable development, reproductive health, and the protection of human rights. It supports innovative research and training, the development of new institutions for cooperative action, and new strategies for engaging U.S. audiences in efforts to advance global security and sustainability.

In selecting areas of emphasis for its international grantmaking, the Foundation is responding to a dynamic global context. In the past decade, change has been particularly dramatic. National boundaries have been redrawn. Societies have moved — often unsteadily — toward democracy and free-market economics. Technological innovations and economic trends have multiplied global linkages of every kind. And nonstate actors have joined governments as major participants in the effort to manage world affairs.

At the same time, there have been continuing population increases and new levels of migration; uneven economic growth too often detrimental to human development and the environment; and increasingly violent political competition within and among countries.

Such threats to the well-being of societies are hardly new; population increase, environmental degradation, poverty, war, and the abuse of human rights have a long history. What is different is the present context of globalization — the rapid spread of technologies, people, money, and information in ways that do not conform to previous political, cultural, or ecological boundaries. New challenges arise from globalization, including, for example, whether and how to intervene in civil conflicts, how to manage transboundary resources, and how to protect human rights where standards differ between countries and cultures. Sustained cooperative action to address these problems requires new partnerships and institutional arrangements.

The Foundation recognizes the critical importance of three specific global issues — arms reduction and security policy, ecosystems conservation, and population — and continues to address these as the core areas of the Program on Global Security and Sustainability. At the same time, the Foundation emphasizes the importance of the changing global context and the new challenges it poses. The Foundation has chosen to address — in addition to the core issues just mentioned — three key aspects of the global context: the state of understanding of the concepts of security and sustainability, the need for new partnerships and institutions to address global problems; and the education of the American public about the United States' interests and responsibilities regarding global issues.

To cope with unprecedented transnational flows of capital, people, goods, environmental resources, ideas, and images, today's society must invent and adapt political structures, social norms, and institutional arrangements. To do so requires the best possible understanding of the concepts of security and sustainability.

The concept of security is now understood more broadly than in the recent past, extending beyond the security of states to the security of groups and individuals in society, to international systems, and to the shared global environment. Security is not only a matter of military defense, but also a search for the conditions for peace — including sustainable development, equity, and protection of human rights, both globally and locally.

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Likewise, the meaning of sustainability has evolved. Current formulations focus on the constantly changing nature of the interactions between humans and the environment. Sustainability requires not just responsible management of specific environmental resources with consideration of the needs of future generations, but also an understanding of the interplay of human and natural systems under conditions of uncertainty. And to advance sustainability involves strengthening the interface between science and policy.

The requirements for achieving security and sustainability can be met in part through action by governments and existing local organizations. But many new partnerships and institutions are also needed, and this is the second integrative area in which the program provides support. Innovation is widespread among those promoting international, regional, and local cooperation. Civil society groups, business enterprises, and local governments are developing new and promising approaches for dealing with conflict, environmental problems, and population growth. Many involve the development of new relationships and cooperation between state and non-state actors. Further study, experimentation, and concerted action are needed to build on these initiatives.

Because of its scientific, technological, economic and military impact in the world, the United States will be especially influential in efforts to manage global problems — and particularly in creating and sustaining new institutions and partnerships. If this country is to play the most constructive role possible, its leadership and citizens must understand the United States' interests and responsibilities in the new global context. This is the third integrative area in which the program provides support.

Through support for work on three core issues and three critical aspects of the larger context, the Foundation hopes to help in the broadly shared effort to meet the challenges facing global society.



Core Areas of the Program

In the core areas, the Foundation addresses three specific issues central to its long-term concerns for security, environment, and population.

Arms Reduction and Security Policy

Purpose: To foster informed public debate and international action to reduce armaments worldwide and to promote responsible security policies and practices.

Strategies:

- *Policy research and dissemination of information*

Grants support independent expert analyses of military, defense and security issues, including existing and proposed weapons systems, their overall budgetary implications, and the causes and consequences of proliferation. The Foundation also funds projects on nuclear, chemical, and biological arms control, as well as projects on conventional weapons and defense systems. The Foundation encourages international collaboration on policy research and dissemination projects.

- *International networks*

The Foundation supports transnational, knowledge-based networks of specialists, scientists, and other policy experts to explore alternative solutions to the global problems of arms proliferation. The networks serve at least three important purposes: 1) they provide opportunities for ongoing discussion that can serve to build consensus about reducing the levels of arms; 2) they help to inform specialists from countries where technical knowledge of nuclear and other weapons issues is relatively scarce; 3) they provide the basis for greater transparency about weapons production, trade, and proliferation.

In supporting these projects and networks, the Foundation also seeks new opportunities to strengthen the international community of independent analysts focused on ways to control and to reduce the production and proliferation of weapons of mass destruction as well as conventional weapons.

Ecosystem Conservation

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Purpose: To help conserve renewable natural resources in selected ecosystems where biodiversity is threatened and to promote the sustainable use of those resources.

Strategies:

The Foundation focuses its ecosystems conservation work in a small number of tropical bio-geographic zones in Asia, Latin America, and Africa. These zones are chosen for their richness of species diversity, number of unique species, and the level of threat within each zone. In addition, the Foundation supports ecosystem conservation work in tropical areas of the United States.

Within the bio-geographic zones, work in one or more of the following three priority activities is given special consideration:

- *Conservation science and training*

Major areas of Foundation interest include strengthening research capacity in tropical countries; building collaboration among developing-country scientists and between industrial- and developing-country institutions; and integrating research with action. While grants largely support research and training directly related to the priority geographic zones, they may also support more theoretical research on tropical forest dynamics and bio-geography. The Foundation gives special emphasis to these activities in the bio-geographic zones chosen for Africa.

- *Environmental law and policy*

Grants in this area support policy research, training, and advocacy actions aimed at strengthening policy-analysis capacities within the priority geographic zones. The Foundation also may support the development of public interest environmental law in and around the geographic zones. Grants in this area support training for judges and environmental lawyers, work application of national laws and international agreements at the local level, and networking among environmental advocates.

- *Conservation and sustainable economic development*

Two areas of special interest are strengthening conservation organizations in the Foundation's priority geographic zones and linking conservation with commerce in forestry, fisheries, agricultural systems, ecotourism, exploitation of non-timber forest products, and other sustainable economic activities. The Foundation also may support studies and demonstrations that improve understanding and appropriate use of traditional and indigenous resource management patterns, or that illuminate the connections among economic development policies, natural resource management decisions, and long-term productivity.

Population

Purpose: To foster conditions for responsible reproductive choices by improving women's reproductive health and promoting shared responsibility between men and women for sexual behavior, childbearing, and childrearing.

Three basic principles guide the selection of population activities to be funded: that participation from developing countries and countries in transition is essential in decision making at all levels of population policy and action; that population activities must include a focus on the population implications of culturally specific systems of gender relationships; and that population activities must consider and encourage the interactions among research, advocacy, and leadership development.

Strategies:

- *International collaboration*

Grants support networking and policy dialogues that address cultural values which support or threaten reproductive health and rights, and collaborative efforts that monitor the implementation of international agreements on population and women's rights.

- *Activities in selected countries*

Support for population activities is concentrated in four countries selected for their political and demographic importance — Brazil, India, Mexico, and Nigeria. Two mutually reinforcing strategies are pursued: strengthening of non-governmental organizations (NGOs) and leadership development for creative individuals with extraordinary potential.

Grants are made at the national and international levels to help civil society organizations concerned with reproductive health and rights to engage in cooperative partnerships with governments and the business sector. Grants also support policy-relevant research in three areas where new knowledge is needed to guide social change: empowerment of women, male responsibility for sexual behavior and reproductive decisions, and the implications of fundamentalisms for women's reproductive health and rights. The Foundation supports exchange among NGOs from the U.S. and southern countries that are developing new ways of dealing with adolescent health and sexuality.



Integrative Program Areas

Grantmaking in the integrative areas of the program focuses on selected critical dimensions of the global context that are important for work in the core areas and for efforts to advance security and sustainability more broadly.

Concepts of Global Security and Sustainability

Purpose: In a world marked by the risk of violent conflict, growing disparities in economic opportunity and resources, abuse of human rights, and irreversible damage to ecosystems, a major goal of the MacArthur Foundation is to foster new knowledge and insight about how global security and sustainability can be achieved.

Strategies:

- *Research*

The Foundation supports individual and collaborative research that: analyzes the causes and consequences of violent conflict, particularly the interactions of natural resource use, population dynamics, group and gender identities, human rights, and economic change; investigates the impact of the processes of globalization on the prospects for security and sustainability; and explores the potential for new cooperative arrangements and local, regional, and global institutions to contribute to security and sustainability.

Individuals and two-person teams working on these topics may apply to the [Research and Writing Competition](#) (separate guidelines are available).

The Foundation also will encourage collaborative research on these topics by awarding grants to interdisciplinary teams of investigators from industrialized and developing countries and countries in transition. Each region and country is different in the way it responds to global changes. There may be important lessons to be learned across countries and regions. The Foundation assumes that the potential for cooperative solutions to the problems of sustainability and security is enhanced when many perspectives, both national and disciplinary, can be explored and considered. Requests for collaborative research project proposals will be issued in 1999.

Through a separate initiative, the Foundation supports integrated research on population, consumption and the environment. This initiative will continue to award grants for collaborative research and practice, focusing on interactions among demographic change, consumer demand, and environmental dynamics in coastal and marine areas and the implications for sustainability (separate application guidelines available).

- *Fellowships and Training*

The successful transition to more secure and sustainable societies depends in part on the cultivation of new generations of analysts, who are trained in approaches and methods that address the complexity of contemporary global problems. Grants for training take several forms.

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[New Partnerships and Institutions](#)

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The Foundation supports selected *regional and international fellowship programs* for graduate and post-doctoral students in disciplines and fields relevant to issues of human security, sustainability, governance, and globalization.

Grants are awarded to *institutions* for the development of new graduate and post-doctoral fellowship programs, curriculum materials, and teaching methods that address issues of global security and sustainability. The Foundation will invite such capacity-building proposals from research institutions, university programs, and professional schools, and from cooperating institutions in developing countries and countries in transition. Grants will also be awarded for collaborations between northern and southern institutions.

On occasion, the Foundation also may provide institutional support for *short-term, non-degree training courses* for professionals, policy advisors, and government officials in commercial and international public law, environmental economics and management, and negotiating and representing southern interests in a globalizing world.

- *Encouragement of policy-practice linkages*

The Foundation supports forums that bring together policymakers, practitioners, and researchers from industrialized and developing countries and countries in transition. The aim is to contribute to the development and implementation of cooperative frameworks for managing global problems. These forums explore new institutional arrangements that coordinate action among local, national, and international non-governmental groups, private firms, and government agencies.

The knowledge gained and shared through research and networking will be disseminated to a broad public, as well as to policymakers in the public and private sectors. The Foundation does not consider proposals for conferences, except as part of a larger program activity.

New Partnerships and Institutions

Purpose: To foster new institutional arrangements for global problem solving, to strengthen civil society's effective participation, and to enhance compliance with universal standards of human rights.

Strategies:

- *Promotion of new partnerships*

The formation of new alliances among business firms, community groups and government agencies is essential to the improved management of any of the global problems in the Foundation's range of interests. For the past several years, the Foundation's work in sustainable forestry has demonstrated the possibilities for new partnerships among organizations in the private, public, and nonprofit sectors to make progress at both local and global levels. The Foundation will continue this work and also will support new partnerships in other areas.

- **Strengthening civil society**

Grants support dialogues and development of alliances that help increase the impact of civil society organizations. The Foundation also supports development of technical expertise and skills of advocacy and constituency-building when directly related to issues of human security and sustainability. In addition, the Foundation supports efforts to strengthen subnational and national level networks that bridge local and international interests, focusing particularly in Mexico and Africa.

- **Strengthening transparency and accountability in public and private institutions**

The program supports efforts to build systems that reduce opportunities and incentives for corruption and that foster accountability in international institutions, governments, the market sector, and civil society. Grants focus on prevention and on developing effective monitoring mechanisms.

- **Promotion of human rights**

The Foundation provides grants for human rights activities related to the promotion of security and sustainability. Continuing general support is provided to selected international human rights organizations with broad-based activities designed to prevent human rights abuses and to hold perpetrators of human rights abuses accountable for their crimes.

U.S Interests and Responsibilities

Purpose: To contribute to policy formation through public dialogue about the ways in which global trends affect the nation's economic and security interests and the expression of its democratic and humanitarian values.

Strategies:

The Foundation awards funds to encourage informed consideration by the public and policymakers of America's role in the rapidly changing global context, engaging broad participation and diverse perspectives, including those from outside the United States. Grants support public education organizations; policy-oriented research groups, and media initiatives. Grantmaking reflects the Foundation's concerns in the areas of arms reduction, ecosystems conservation, human rights, and women's reproductive health, and fosters analysis, public discussion, and networking.

- *Promoting the development of a new foreign policy framework and fostering responsible United States participation in international agreements and organizations*

While the reduction of global threats to human welfare will require concerted action by all nations, decisions taken on these problems by the United States will be crucial to the success of such efforts. The lack of a major adversary after the fall of the Soviet Union, however, has reduced public support for vigorous national action in international affairs. Grants will be made to projects that help provide the public with the resources it needs to better deliberate the new security agenda and the United States' role in global affairs, encouraging dialogue across sectors and geographic regions, and giving attention to groups outside the traditional foreign policy establishment.

- *Highlighting the significance of international policy choices*

Global trends have impact on the lives of all Americans, but they may seem less urgent than domestic issues or less amenable to action than problems closer to home. Grants will be made to projects that clarify the connections between local and global issues and that involve the range of structures through which the American people organize themselves to act on their interests and their values.

- *Encouraging new strategies for carrying out America's global responsibilities*

Americans have historically believed that they should share a portion of their talents and resources with the rest of the world. Since the end of the Cold War, however, political incentives for aiding less-developed countries have faded and uneven performance in development assistance and peacekeeping efforts have weakened public support. Grants will fund the exploration of new approaches to the U.S. role in international peacekeeping and sustainable development and will support projects that encourage public discussion of these issues.

- *Advancing business and investment practices that contribute to global security and sustainability*

Private capital flows have surpassed the level of multilateral development assistance, and multinational businesses are now major actors in world affairs. Yet the criteria for what constitutes good corporate global citizenship are not well defined and mechanisms for public oversight not well developed. Grants will be made for work that stimulates dialogue among corporate leaders, investors, government agencies, and nongovernmental organizations about the role of the private sector in global problem solving.

Geographic Regions

The Foundation also supports work in selected geographic areas that have importance for global or

regional stability. Examples include the Foundation's special initiative in the former Soviet Union and coordinated grantmaking approaches in Africa and Mexico.

- *Initiative in the Former Soviet Union*

Purpose: To support independent research and creative approaches to pressing societal issues and to foster interaction among scholar/practitioners in the region and with their colleagues abroad.

Strategies:

The MacArthur Foundation initiative makes grants to individuals, groups, and organizations within the territories of Armenia, Azerbaijan, Belarus, Estonia, Georgia, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan. Research and writing grants for individuals and special project grants focus on four issue areas:

- *Law and society*

Developing the legal culture; public understanding of the role of legal procedures to protect citizens' rights; social welfare; public interest law; public access to information.

- *Human rights*

Protecting civil liberties; economic and social rights; the rights of women and minorities; the impact of economic changes on women and minorities; supporting the equal participation in society of women and minorities; women's reproductive rights; monitoring of human rights violations.

- *Environment and society*

Promoting sustainable development; preservation of biodiversity; public participation in ecosystem conservation; legal, economic, and social aspects of environmental protection and resource management; creative approaches to efficient energy production and consumption; economic and environmental impact of energy production and consumption.

- *Peace and security*

Fostering broad conceptions of security that attend to causes and effects of conflict, including environmental degradation and natural resource use; religion, ethnicity, migration, and uneven economic development; arms control and disarmament; weapons proliferation and regional conflict.

Detailed guidelines for the initiative in the Former Soviet Union

- *Other Geographic Areas*

The Foundation conducts grantmaking in Mexico and Africa in various program areas. Coordinated strategies are currently in development.



Research and Writing Grants

Purposes: The John D. and Catherine T. MacArthur Foundation offers Research and Writing grants for the following purposes:

- To support innovation and excellence in the analysis of the causes, nature, and consequences of international conflict and cooperation and in the development of improved understandings of human security and sustainable development.
- To broaden and strengthen the community of writers and scholars engaged in work on global security and sustainability.

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Competition Scope

The MacArthur Foundation's Program on Global Security and Sustainability encourages examination of issues of conflict and cooperation within and among nations. It seeks to support research and writing projects that promise to illuminate the dynamics of international security, sustainability and cooperation.

Security and sustainability are now understood more broadly than in the recent past. The concept of security extends beyond the security of states to the security of groups and individuals in society, to international systems, and to the shared global environment. New definitions of security encompass not only military defense, but also a search for the conditions for peace --including sustainable development, economic and social equity, and protection of human rights. Security affects and is affected by the interactions of natural resource use, population dynamics and group and gender identities.

Sustainability recognizes the inherently complex nature of the relationship among environmental, population, economic and social dynamics. It requires responsible management of environmental resources with consideration of the needs of future generations. It involves, as well, an understanding of how human and natural systems interact under conditions of uncertainty, imperfect information and, in some circumstances, irreversibility.

Grants for Research and Writing support creative work in many academic disciplines and professions, as well as projects conducted outside of traditional disciplinary and professional approaches. Grants may be used by scholars in university and organizational settings or by independent researchers working outside of institutional contexts.

Eligibility

The competition is open to individuals and two-person teams who have a proven ability to do creative work. In addition, an institution may apply for support of projects to be undertaken by an individual or two-person team. Applicants of any age or citizenship may apply.

Grants **may not** be used for research or writing of the doctoral dissertation. Also **ineligible** for consideration are projects that include requests to hold workshops or conferences; to produce textbooks, manuals, films, works of photojournalism, or works of fiction; to edit volumes; or to develop curricular materials.

Grant Terms

Research and Writing grants are awarded for periods of eighteen months or less and may be made directly to researchers, universities, research or policy institutes. Applicants may request up to \$75,000 for individual projects, and may request up to \$100,000 for two-person collaborations. Grant amounts are based on the proposed budget and subsequent discussion with successful applicants. While a Research and Writing grant may be held concurrently with another research award, all grants and other sources of support for the project, including pre-publication advances and sabbatical salaries, must be accurately reported at the time of accepting the Foundation award. There is an advantage in submitting proposals for projects that have not already received substantial funding from other sources. Grant recipients may conduct their research independently or in an appropriate institutional setting, such as a university or research institute.

The Foundation cannot assume responsibility for applicants' tax obligations or advise applicants on their tax-reporting duties or the tax implications of grants. Applicants are encouraged to consult with their tax advisors regarding the tax implications of grants and their tax-reporting responsibilities.

The Foundation will not consider requests for supplemental grants, tuition fees, or consulting fees.

Review Process

The Foundation relies on specialists and outside consultants to review proposals and convenes an external selection committee to ensure comprehensive consideration of each submission. Within one month of the deadline, receipt of applications will be acknowledged with a memo describing the confidential review process. The Foundation regrets that it is unable to respond to individual inquiries regarding a proposal's status during the review process.

Calendar and Deadlines

The competition for Research and Writing grants occurs annually. Applications must be received by February 1 and grant awards will be announced in October. Projects should be scheduled to begin within twelve months of the announcement date, but not sooner than October 1.

Application Procedures

There are no forms required for application to the Research and Writing competition. To be considered, proposals must be typewritten in English and must include the following elements in the order indicated:

1. Cover sheet including the following information:

For Individual Researchers and Two-Person Collaborations:

- applicant's name
- applicant's address and telephone number(s)
- applicant's title, institutional and departmental affiliation (where appropriate)
- applicant's primary discipline and area of regional specialization (if any)
- title of the proposed project
- total amount requested
- proposed starting date and duration of the project
- **Optional:** age, gender, country of origin, and citizenship, for Foundation use only. Applicants are not required to supply this information.

For Universities and Institutions sponsoring Individual and Two-Person Projects:

- name of institution
- institution's address and telephone number
- contact person at institution
- name, title, and departmental affiliation of proposed researcher(s)
- primary discipline and area of regional specialization of proposed researcher(s) (if any)
- title of the proposed project
- total amount requested
- proposed starting date and duration of the project
- **Optional:** age, gender, country of origin, and citizenship of proposed researcher(s), for Foundation use only. Applicants are not required to supply this information.

2. Project summary of **no more than one page**. The summary should be a self-contained description of the project's objectives, the methods to be employed, and the significance of anticipated results. Summaries will be used in the selection process and should reflect the project overall.
3. Narrative project description of **no more than 12 pages**, double-spaced, including:
 - objectives for the period of the proposed work and its expected significance
 - methods of investigation
 - relation to the present state of the field
 - relation to longer-term goals of the researcher's work
 - relation to work in progress by the researcher and to similar work in progress elsewhere.

In addition to describing the nature of the project and its rationale in detail, applicants should make explicit the implications of proposed projects for security and sustainability. For those who have limited experience writing grant applications of this type, a short brochure on writing proposals is available from the Foundation upon request.

4. Bibliography for the project
5. Project budget and allocation clearly delineated among cost categories, including:

- salary and support of researcher (s) (including health or retirement benefits)
 - travel costs
 - research-related expenditures
 - institutional overhead, (if applicable). (Universities and institutions are allowed a maximum of 15 percent overhead, which must be included within the total proposed budget at the time of application.)
 - other costs (please specify)
6. Statement of any previous, current, and potential funding sources and amounts for the proposed work, including sabbatical salaries, advances against book royalties, etc.
 7. Biographical statement summarizing in **one paragraph** the education, experience, accomplishments, and qualifications of the researcher(s) relevant to the proposed project.
 8. Curriculum vitae of researcher(s), including the names and addresses of two individuals who can provide references.

Eight (8) identical, collated copies of the complete proposal should be addressed to:

Research and Writing Grants
Program on Global Security and Sustainability
The John D. and Catherine T. MacArthur Foundation
140 South Dearborn Street
Chicago, Illinois 60603 USA

Please do not bind, cover, or attach tabs to application documents.

Applications received by fax or e-mail will **NOT** be considered without prior approval by Foundation staff.

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Director, Population
Director, New Partnerships and Institutions

Kennette M. Benedict
Director, Arms Reduction and Security Policy
Director, Concepts of Security and Sustainability
Director, Initiative in the Former Soviet Union

Dan M. Martin
Director, Ecosystems Conservation
Director, U.S. Interests and Responsibilities

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Associate Director, Ecosystems Conservation

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Associate Director, U.S. Interests and Responsibilities

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